Ulster County Economic Development Alliance P.O. Box 1800, 244 Fair Street Kingston, NY 12402-1800 Tel: 845.340.3556



WHISTLEBLOWER POLICY

All members, directors, officers and staff of the Ulster County Economic Development Alliance (the "Corporation") shall observe high standards of business and personal ethics in the conduct of their duties and responsibilities. It is the responsibility of all members, directors, officers and staffs to comply with the Code of Ethics and to report violations or suspected violations in accordance with this Whistleblower Policy.

Each member, director, officer or staff is responsible to report any violation of the Code (whether suspected or known) to the Corporation's Chair or Counsel. No member, director, officer or staff, who in good faith, reports a violation of the Code of Ethics shall suffer harassment, retaliation or adverse employment consequence. Anyone filing a complaint concerning a violation or suspected violation of the Code must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a violation of the Code of Ethics.

The Chair is responsible for immediately forwarding any claim to the Corporation's Counsel who shall investigate and handle the claim in a timely manner. Reports of violations or suspected violations will be kept confidential to the extent possible, consistent with the need to conduct an adequate investigation.

Adopted as amended April 26, 2016